

Rhode Island Ethics Commission

FY 2015 Revised
FY 2016 Recommended
Staff Presentation
April 14, 2015

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Background

- Prescription and publication of rules and regulations associated with state Code of Ethics
- Jurisdiction over three branches of state government and municipal and local government bodies
- Maintains all required filings made by elected and appointed officials
 - Including Financial Disclosure Statements

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Summary by Category

<i>General Revenues</i>	FY 2015 Enacted	FY 2015 Revised	FY 2016 Governor	FY 2016 Change to Enacted
Salaries & Benefits	\$1.4	\$1.4	\$1.4	\$0.1
Contracted Services	0.0	0.0	0.0	(0.0)
Operations	0.2	0.2	0.2	0.0
Capital	0.0	0.0	0.0	0.0
Total	\$1.6	\$1.6	\$1.6	\$0.1

In millions

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Target Issues

- Budget Office provided a general revenue target of \$1.7 million
 - Current service adjustments of \$0.1 million
 - Was not required to meet 7.5% target reduction
- Constrained request \$422 less than target
 - Achieved through operations reductions
 - Governor recommends \$6,500 less than constrained request

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Personnel

Full-Time Positions	FTEs	Change to Enacted
Enacted Authorized	12.0	-
FY 2015 Rev. Request	12.0	-
FY 2016 Request	12.0	-
FY 2016 Governor	12.0	-
FY 2014 Average Filled	11.9	(0.1)
Filled as of April 4th	12.0	-

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Personnel

FY 2015 Revised

- \$1,404,913 from general revenues
 - \$26,838 more than enacted
 - Includes COLA and medical benefit savings

FY 2016 Recommended

- \$1,438,149 from general revenues
 - \$0.1 million more than enacted
 - Includes COLA and statewide benefit savings

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Operations

FY 2015 Revised and FY 2016: \$0.2 million

- Lease of Commission office space
 - Enacted level - \$0.1 million both years
- Reductions in Operations expenses
 - Contracted services - \$6,500 less both years
 - Staff training/travel and postage - \$2,436 less
 - Consistent with constrained proposal

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Expenditure History

Total	FY 2011	FY 2012	FY 2013	FY 2014
<i>Initial Request</i>	\$1,506,582	\$1,537,043	\$1,686,950	\$1,592,744
Enacted	1,482,659	1,560,008	1,557,881	1,577,204
<i>Revised Request</i>	1,456,670	1,525,580	1,553,890	\$1,582,966
Final	1,454,869	1,462,946	1,530,853	\$1,575,940
Actual	1,419,804	1,410,814	1,500,568	\$1,541,741
Difference	\$ (35,065)	\$ (52,132)	\$ (30,285)	\$ (34,199)

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Online Disclosure Filings

- Online financial disclosure filings began in 2012
 - Implemented for cost savings and greater efficiency

<i>(Filings for prior year)</i>	CY 2012	CY 2013	CY 2014
Online Filings	2,211	2,512	2,493
Total Filings	3,822	3,736	3,594
% Filed Online	57.8%	67.2%	69.3%

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Access to Public Records

- Public may request access to records
 - Financial statements, recusal forms, advisory opinions, request letters, and complaints

<i>FY 2014 Timeliness</i>	Q1	Q2	Q3	Q4	Total
Requests	27	25	41	70	163
Responses within 24hrs	25	25	41	68	159
% Responses within 24hrs	92.6%	100%	100%	97.1%	97.5%

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